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# TRANSMITTAL FORM

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Application Number	10/813,845
Filing Date	March 30, 2004
First Named Inventor	Stephen D. Pacetti
Group Art Unit	1734
Examiner Name	Laura E. Edwards
Attorney Docket Number	50623.00343

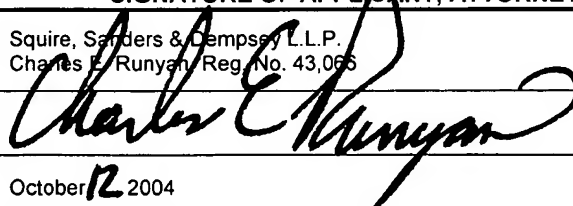
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## ENCLOSURES (check all that apply)

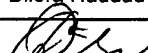
<input checked="" type="checkbox"/> Deposit Account 07-1850 Authorization	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Postage Paid Return Postcard	<input type="checkbox"/> Drawing(s) In/Formal ___ Sheets with Submission of Drawings Transmittal	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> Amendment Transmittal Letter (in duplicate)	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Petition for Extension of Time (___ months) (in duplicate)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input checked="" type="checkbox"/> Information Disclosure Statement (in duplicate) with Form PTO-1449 citing 396 References	<input type="checkbox"/> Terminal Disclaimer	<b>70 Foreign Patent Documents</b>
<input type="checkbox"/> Express Mail Label No.	<input type="checkbox"/> Request for Refund	<b>33 Other Non-patent documents</b>
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Squire, Sanders & Dempsey L.L.P. Charles E. Runyan, Reg. No. 43,066
Signature	
Date	October 12, 2004

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: October 12, 2004

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re Application of:

Examiner: Laura E. Edwards

Stephen D. Pacetti

Serial No. 10/813,845

Art Unit: 1734

Filed: March 30, 2004

Title: APPARATUS AND METHOD FOR COATING IMPLANTABLE  
DEVICES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §§1.97-1.98**

Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. According to a Notice signed July 11, 2003, the U.S. Patent and Trademark Office has waived the requirement under 37 C.F.R. § 1.98(a)(2)(i) for all patent applications filed after June 30, 2003. *See*, 1276 Off. Gaz. Pat. Office 55. Since this patent application was filed after June 30, 2003, Applicants have not provided copies of the cited U.S. patents or the U.S. Patent Application Publications. Copies of the cited foreign patent documents and other non-patent documents have been submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

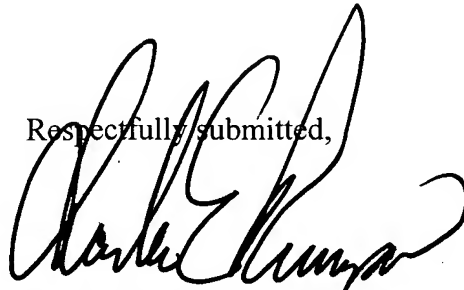
The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The present Information Disclosure Statement is being submitted after receiving an Office Action and after three months of the filing date of the above-identified application. Therefore, the fee of \$180.00 as specified in 37 C.F.R. §1.97(c) and 37 C.F.R. §1.17(p) is authorized to be charged to Deposit Account No. 07-1850.

Date: October 12, 2004

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Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Charles E. Runyan', written over a horizontal line.

Charles E. Runyan, Ph.D.  
Attorney for Applicant  
Reg. No. 43,066

<b>FORM PTO-1449 (Modified)</b> Approved for use through 10/31/2002		US DEPARTMENT OF COMMERCE US Patent and Trademark Office		Docket No. <b>50623.00343</b>	Application No. <b>10/813,845</b>	
<b>INFORMATION DISCLOSURE CITATION</b> <b>in an Application</b> (Use several sheets if necessary)				Applicant <b>Stephen D. Pacetti</b>		
				Filing Date <b>March 30, 2004</b>		Group Art Unit <b>1734</b>

### U.S. PATENT DOCUMENTS

Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A1	2,072,303	3/2/37	Herrmann et al.	128	335.5	10/14/33
	A2	2,386,454	10/9/45	Frosch et al.	260	78	11/22/40
	A3	3,773,737	11/20/73	Goodman et al.	260	78	6/9/71
	A4	3,849,514	11/19/74	Gray, Jr. et al.	260	857	9/5/69
	A5	4,226,243	10/7/80	Shalaby et al.	128	335.5	7/27/79
	A6	4,329,383	5/11/82	Joh	428	36	7/21/80
	A7	4,343,931	8/10/82	Barrows	528	291	12/17/79
	A8	4,529,792	7/16/85	Barrows	528	291	5/6/82
	A9	4,611,051	9/9/86	Hayes et al.	528	295.3	12/31/85
	A10	4,656,242	4/7/87	Swan et al.	528	295.3	6/7/85
	A11	4,733,665	3/29/88	Palmaz	128	343	11/7/85
	A12	4,800,882	1/31/89	Gianturco	128	343	3/13/87
	A13	4,882,168	11/21/89	Casey et al.	424	468	9/5/86
	A14	4,886,062	12/12/89	Wiktor	128	343	10/19/87
	A15	4,931,287	6/5/90	Bae et al.	424	484	6/14/88
	A16	4,941,870	7/17/90	Okada et al.	600	36	12/30/88
	A17	4,977,901	12/18/90	Ofstead	128	772	4/6/90
	A18	5,019,096	5/28/91	Fox, Jr. et al.	623	1	10/14/88
	A19	5,100,992	3/31/92	Cohn et al.	424	501	5/3/90
	A20	5,112,457	5/12/92	Marchant	204	165	7/23/90
	A21	5,133,742	7/28/92	Pinchuk	623	1	11/14/91
	A22	5,163,952	11/17/92	Froix	623	1	9/14/90
	A23	5,165,919	11/24/92	Sasaki et al.	424	488	9/26/90
	A24	5,219,980	6/15/93	Swidler	528	272	4/16/92
	A25	5,258,020	11/2/93	Froix	623	1	4/24/92
	A26	5,272,012	12/21/93	Opolski	428	423.1	1/29/92

	A27	5,292,516	3/8/94	Viegas et al.	424	423	11/8/91
	A28	5,298,260	3/29/94	Viegas et al.	424	486	6/9/92
	A29	5,300,295	4/5/94	Viegas et al.	424	427	9/13/91
	A30	5,306,501	4/26/94	Viegas et al.	424	423	11/8/91
	A31	5,306,786	4/26/94	Moens et al.	525	437	12/16/91
	A32	5,328,471	7/12/94	Slepian	604	101	8/4/93
	A33	5,330,768	7/19/94	Park et al.	424	501	7/5/91
	A34	5,380,299	1/10/95	Fearnott et al.	604	265	8/30/93
	A35	5,417,981	5/23/95	Endo et al.	424	486	4/28/93
	A36	5,447,724	9/5/95	Helmus et al.	424	426	11/15/93
	A37	5,455,040	10/3/95	Marchant	424	426	11/19/92
	A38	5,462,990	10/31/95	Hubbell et al.	525	54.1	10/5/93
	A39	5,464,650	11/7/95	Berg et al.	427	2.30	4/26/93
	A40	5,485,496	1/16/96	Lee et al.	378	64	9/22/94
	A41	5,516,881	5/14/96	Lee et al.	528	320	8/10/94
	A42	5,569,463	10/29/96	Helmus et al.	424	426	6/7/95
	A43	5,578,073	11/26/96	Haimovich et al.	623	1	9/16/94
	A44	5,584,877	12/17/96	Miyake et al.	623	1	6/23/94
	A45	5,605,696	2/25/97	Eury et al.	424	423	3/30/95
	A46	5,607,467	3/4/97	Froix	623	1	6/23/93
	A47	5,609,629	3/11/97	Fearnott et al.	623	1	6/7/95
	A48	5,610,241	3/11/97	Lee et al.	525	411	5/7/96
	A49	5,616,338	4/1/97	Fox, Jr. et al.	424	423	4/19/91
	A50	5,624,411	4/29/97	Tuch	604	265	6/7/95
	A51	5,628,730	5/13/97	Shapland et al.	604	21	7/18/94
	A52	5,644,020	7/1/97	Timmermann et al.	528	288	5/10/96
	A53	5,649,977	7/22/97	Campbell	623	1	9/22/94
	A54	5,658,995	8/19/97	Kohn et al.	525	432	11/27/95
	A55	5,667,767	9/16/97	Greff et al.	424	9.411	7/27/95
	A56	5,670,558	9/23/97	Onishi et al.	523	112	7/6/95
	A57	5,674,242	10/7/97	Phan et al.	606	198	11/15/96
	A58	5,679,400	10/21/97	Tuch	427	2.14	6/7/95
	A59	5,700,286	12/23/97	Tartaglia et al.	623	1	8/22/96

	A60	5,702,754	12/30/97	Zhong	427	2.12	2/22/95
	A61	5,711,958	1/27/98	Cohn et al.	424	423	7/11/96
	A62	5,716,981	2/10/98	Hunter et al.	514	449	6/7/95
	A63	5,721,131	2/24/98	Rudolph et al.	435	240	4/28/94
	A64	5,723,219	3/3/98	Kolluri et al.	428	411.1	12/19/95
	A65	5,735,897	4/7/98	Buirge	623	12	1/2/97
	A66	5,746,998	5/5/98	Torchilin et al.	424	9.4	8/8/96
	A67	5,759,205	6/2/98	Valentini	623	16	1/20/95
	A68	5,776,184	7/7/98	Tuch	623	1	10/9/96
	A69	5,783,657	7/21/98	Pavlin et al.	528	310	10/18/96
	A70	5,788,979	8/4/98	Alt et al.	424	426	2/10/97
	A71	5,800,392	9/1/98	Racchini	604	96	5/8/96
	A72	5,820,917	10/13/98	Tuch	427	2.1	6/7/95
	A73	5,824,048	10/20/98	Tuch	623	1	10/9/96
	A74	5,824,049	10/20/98	Ragheb et al.	623	1	10/31/96
	A75	5,830,178	11/3/98	Jones et al.	604	49	10/11/96
	A76	5,837,008	11/17/98	Berg et al.	623	1	4/27/95
	A77	5,837,313	11/17/98	Ding et al.	427	2.21	6/13/96
	A78	5,849,859	12/15/98	Acemoglu	528	271	3/23/93
	A79	5,851,508	12/22/98	Greff et al.	424	9.411	2/14/97
	A80	5,854,376	12/29/98	Higashi	528	288	3/11/96
	A81	5,858,746	1/12/99	Hubbell et al.	435	177	1/25/95
	A82	5,865,814	2/2/99	Tuch	604	265	8/6/97
	A83	5,869,127	2/9/99	Zhong	427	2.12	6/18/97
	A84	5,873,904	2/23/99	Ragheb et al.	623	1	2/24/97
	A85	5,876,433	3/2/99	Lunn	623	1	5/29/96
	A86	5,877,224	3/2/99	Brocchini et al.	514	772.2	7/28/95
	A87	5,879,713	3/9/99	Roth et al.	424	489	1/23/97
	A88	5,902,875	5/11/99	Roby et al.	528	310	1/28/98
	A89	5,905,168	5/18/99	Dos Santos et al.	562	590	12/10/93
	A90	5,910,564	6/8/99	Gruning et al.	528	310	12/6/96
	A91	5,914,387	6/22/99	Roby et al.	528	310	1/28/98
	A92	5,919,893	7/6/99	Roby et al.	525	411	1/28/98

	A93	5,925,720	7/20/99	Kataoka et al.	525	523	12/18/97
	A94	5,932,299	8/3/99	Katoot	427	508	4/22/97
	A95	5,955,509	9/21/99	Webber et al.	514	772.7	4/23/97
	A96	5,958,385	9/28/99	Tondeur et al.	424	61	9/28/95
	A97	5,962,138	10/5/99	Kolluri et al.	428	411.1	11/24/97
	A98	5,971,954	10/26/99	Conway et al.	604	96	1/29/97
	A99	5,980,928	11/9/99	Terry	424	427	7/29/97
	A100	5,980,972	11/9/99	Ding	427	2.24	9/22/97
	A101	5,997,517	12/7/99	Whitbourne	604	265	1/27/97
	A102	6,010,530	1/4/00	Goicoechea	623	1	2/18/98
	A103	6,011,125	1/4/00	Lohmeijer et al.	525	440	9/25/98
	A104	6,015,541	1/18/00	Greff et al.	424	1.25	11/3/97
	A105	6,033,582	3/7/00	Lee et al.	216	37	1/16/98
	A106	6,034,204	3/7/00	Mohr et al.	528	328	8/7/98
	A107	6,042,875	3/28/00	Ding et al.	427	2.24	3/2/99
	A108	6,051,576	4/18/00	Ashton et al.	514	255	1/29/97
	A109	6,051,648	4/18/00	Rhee et al.	525	54.1	1/13/99
	A110	6,054,553	4/25/00	Groth et al.	528	335	11/12/96
	A111	6,056,993	5/2/00	Leidner et al.	427	2.25	4/17/98
	A112	6,060,451	5/9/00	DiMaio et al.	514	13	3/20/95
	A113	6,060,518	5/9/00	Kabanov et al.	514	781	8/16/96
	A114	6,080,488	6/27/00	Hostettler et al.	428	423.3	3/24/98
	A115	6,096,070	8/1/00	Ragheb et al.	623	1	5/16/96
	A116	6,099,562	8/8/00	Ding et al.	623	1.46	12/22/97
	A117	6,110,188	8/29/00	Narciso, Jr.	606	153	3/9/98
	A118	6,110,483	8/29/00	Whitbourne et al.	424	423	6/23/97
	A119	6,113,629	9/5/00	Ken	623	1.1	5/1/98
	A120	6,120,491	9/19/00	Kohn et al.	604	502	4/7/98
	A121	6,120,536	9/19/00	Ding et al.	623	1.43	6/13/96
	A122	6,120,788	9/19/00	Barrows	424	426	10/16/98
	A123	6,120,904	9/19/00	Hostettler et al.	428	423.3	5/24/99
	A124	6,121,027	9/19/00	Clapper et al.	435	180	8/15/97
	A125	6,129,761	10/10/00	Hubbell	623	11	6/7/95

	A126	6,136,333	10/24/00	Cohn et al.	424	423	7/11/97
	A127	6,143,354	11/7/00	Koulik et al.	427	2.24	2/8/99
	A128	6,153,252	11/28/00	Hossainy et al.	427	2.3	4/19/99
	A129	6,159,978	12/12/00	Myers et al.	514	252.1	11/24/98
	A130	6,165,212	12/26/00	Dereume et al.	623	1.13	6/28/99
	A131	6,172,167	1/9/01	Stapert et al.	525	420	6/27/97
	A132	6,177,523	1/23/01	Reich et al.	525	459	7/14/99
	A133	6,180,632	1/30/01	Myers et al.	514	252.1	11/24/98
	A134	6,203,551	3/20/01	Wu	606	108	10/4/99
	A135	6,211,249	4/3/01	Cohn et al.	514	772.1	1/13/98
	A136	6,214,901	4/10/01	Chudzik et al.	523	113	4/15/99
	A137	6,231,600	5/15/01	Zhong	623	1.42	5/26/99
	A138	6,240,616	6/5/01	Yan	29	527.2	4/15/97
	A139	6,245,753	6/12/01	Byun et al.	514	56	4/27/99
	A140	6,245,760	6/12/01	He et al.	514	234.8	11/24/98
	A141	6,248,129	6/19/01	Froix	623	1.42	10/23/98
	A142	6,251,136	6/26/01	Guruwaiya et al.	623	1.46	12/8/99
	A143	6,254,632	7/3/01	Wu et al.	623	1.15	9/28/00
	A144	6,258,121	7/10/01	Yang et al.	623	1.46	7/2/99
	A145	6,258,371	7/10/01	Koulik et al.	424	422	4/3/98
	A146	6,262,034	7/17/01	Mathiowitz et al.	514	44	11/25/97
	A147	6,270,788	8/7/01	Koulik et al.	424	423	10/4/99
	A148	6,277,449	8/21/01	Kolluri et al.	427	289	6/30/99
	A149	6,283,947	9/4/01	Mirzaee	604	264	7/13/99
	A150	6,283,949	9/4/01	Roorda	604	288.02	12/27/99
	A151	6,284,305	9/4/01	Ding et al.	427	2.28	5/18/00
	A152	6,287,628	9/11/01	Hossainy et al.	427	2.3	9/3/99
	A153	6,299,604	10/9/01	Ragheb et al.	604	265	8/20/99
	A154	6,306,176	10/23/01	Whitbourne	623	23.59	9/21/99
	A155	6,331,313	12/18/01	Wong et al.	424	427	10/22/99
	A156	6,335,029	1/1/02	Kamath et al.	424	423	12/3/98
	A157	6,344,035	2/5/02	Chudzik et al.	604	265	10/20/00



	A158	6,346,110	2/12/02	Wu	606	108	1/3/01
	A159	6,358,556	3/19/02	Ding et al.	427	2.24	1/23/98
	A160	6,379,381	4/30/02	Hossainy et al.	623	1.42	9/3/99
	A161	6,387,379	5/14/02	Goldberg et al.	424	400	2/28/94
	A162	6,395,326	5/28/02	Castro et al.	427	2.24	5/31/00
	A163	6,419,692	7/16/02	Yang et al.	623	1.15	2/3/99
	A164	6,451,373	9/17/02	Hossainy et al.	427	2.25	8/4/00
	A165	6,482,834	11/19/02	Spada et al.	514	311	4/6/01
	A166	6,494,862	12/17/02	Ray et al.	604	96.01	12/30/99
	A167	6,503,538	1/7/03	Chu et al.	424	497	8/30/00
	A168	6,503,556	1/7/03	Harish et al.	427	2.24	12/28/00
	A169	6,503,954	1/7/03	Bhat et al.	514	772.2	7/21/00
	A170	6,506,437	1/14/03	Harish et al.	427	2.25	10/17/00
	A171	6,524,347	2/25/03	Myers et al.	2514	252.1	9/29/00
	A172	6,527,801	3/4/03	Dutta	623	1.46	4/13/00
	A173	6,527,863	3/4/03	Pacetti et al.	118	500	6/29/01
	A174	6,528,526	3/4/03	Myers et al.	214	311	9/29/00
	A175	6,530,950	3/11/03	Alvarado et al.	623	1.13	8/3/00
	A176	6,530,951	3/11/03	Bates et al.	623	1.45	10/23/97
	A177	6,540,776	4/1/03	Sanders Millare et al.	623	1.15	12/28/00
	A178	6,544,223	4/8/03	Kokish	604	103.01	1/5/01
	A179	6,544,543	4/8/03	Mandrusov et al.	424	422	12/27/00
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